



# ACCOUNT ADMINISTRATION AND SERVICE COSTS

**RAYMOND JAMES<sup>®</sup>**

**Corporate Offices:**

2100 – 925 West Georgia Street | Vancouver, BC | V6C 3L2  
5300 – 40 King Street West | Toronto, ON | M5H 3Y2

*Raymond James Ltd. is a member of:  
Canadian Investor Protection Fund*

*Investment Industry Regulatory Organization of Canada (IIROC)*

**[www.raymondjames.ca](http://www.raymondjames.ca)**

# ACCOUNT ADMINISTRATION AND SERVICE COSTS

## Registered Accounts

Excluding applicable taxes

Registered Savings Plans (Annual) <sup>1</sup>	\$125.00
USD Registered Savings Plans (Annual)	USD \$60.00
TFSA (Annual)	\$50.00
RESP (Annual)	\$50.00
RRSP Partial Deregistration	\$50.00
RRIF Partial Deregistration <sup>2</sup>	\$50.00
Registered Plan Full Deregistration <sup>3</sup>	\$100.00
Swaps (per security)	\$35.00
Canadian Private Companies, Mortgage Investment Corporations, Private Placements <sup>4</sup>	\$250.00
Set up of Mortgages	\$200.00
Mortgage (Annual)	\$150.00

## Other Services

Partial Account Transfer Out	\$135.00
Full Account Transfer Out	\$150.00
Cheque Certification/Bank Draft	\$25.00
Stop Payment	\$25.00
Dishonoured payments	\$40.00
Canadian Wire Transfers	\$30.00
US Wire Transfers	\$35.00
Wire Transfers (other than CDN or USD)	\$40.00
Certificate Registration	\$50.00
Certificate Registration (Rush)	\$250.00
GIC Re-Registration (not applicable to Transfers-In)	\$25.00
Search for Records (per document)	\$30.00
Early Settlement	<i>Interest only</i>
Estate processing <sup>5</sup>	<i>At cost (\$150.00 min.)</i>
Private, MIC or small business investment shares (Annual)	\$50.00
Viridian Fee (minimum per month) <sup>6</sup>	\$125.00

<sup>1</sup> Includes RRSPs, RRIFs, Spousal and Locked-In accounts but does not apply to Partners, Managed PIMG, Freedom or Viridian accounts

<sup>2</sup> Non-scheduled payments only

<sup>3</sup> Includes RRSPs, RRIFs, Spousal and Locked-In accounts (where permitted under applicable legislation)

<sup>4</sup> Per security, per transaction (includes purchases, swaps, contributions and transfers-in); No fee, if part of 3Macs a division of Raymond James Ltd. underwriting

<sup>5</sup> Excludes Successor Annuitant and Joint Trust With Right of Survivorship accounts, 3rd party charges may also be applicable, non-standard requests are subject to additional charges.

<sup>6</sup> Minimum monthly fee is based on the Viridian group, not the individual account.